

Docket Number: 051270-0277016

Client Reference: 523US

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

YOSHIHIKO SHIOZAKI

Group Art Unit: 2652

Application No.: 09/964,087

Examiner: Michael V. Battaglia

Filed: September 26, 2001

Confirmation No.: 2454

For: OPTICAL DISK RECORDING AND REPRODUCING APPARATUS

INFORMATION DISCLOSURE STATEMENT

**RECEIVED**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

SEP 03 2004

**Technology Center 2600**

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed with a Request for Continued Examination. No certification or fee is required.

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CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

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- ☐ transmitted by facsimile to the Patent and Trademark Office.

Mark R. Kendrick  
Signature

Date: August 30, 2004

Mark R. Kendrick, Reg. No. 48468

English-language Abstracts of the non-English language references are attached hereto.

Respectfully Submitted,

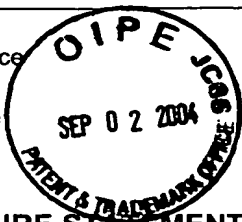


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Atty. Dkt. No.	M#	Client Ref.
	51270-277016	H7523US

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

Applicant: Shiozaki, et. al.	
Appln. No.: 09/964,087	
Filing Date: September 26, 2001	
Examiner: Battaglia, M.V.	Group Art Unit: 2652

Date: August 30, 2004 Page 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR 5,495,463	03/1996	Agaki, et. al.	369	113	
	BR					
	CR					
	DR					
	ER					
	FR					
	GR					
	HR					
	IR					

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**FOREIGN PATENT DOCUMENTS**

		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclose	No
/	JR	06-236576	08/1994	Japan	Agaki, et al.	x			
/	KR	09-045982	02/1997	Japan	Nagasawa Masaya	x			
/	LR	63121130	05/1988	Japan	Isao, et al.	x			
/	MR	2005221	01/1990	Japan	Takeshi, et al.	x			
/	NR	05-028495	02/1993	Japan	Hironori, et al.	x			
	OR								
	PR								

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

/	QR	Japanese Patent Office Notice of Rejection - Japanese Application No. 294737/2000 - April 15, 2003						X	
/	RR	Japanese Patent Office Notice of Rejection - Japanese Application No. 294737/2000 - September 9, 2003						x	
	SR								
	TR								
	UR								
	VR								
	WR								
	XR								
	YR								
	ZR								
	AAR								

Examiner Date Considered:

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.